

Maxim returns to Fidessa

Fidessa uniquely positioned to support today's complex workflows

New York, 3rd December 2013 – Fidessa group plc (LSE: FDSA) has today announced that US broker-dealer Maxim Group has rejoined its client base. The firm has re-signed for Fidessa's fully-managed US trading platform to power its sales, market-making, options and international desks.

Bill Vitale, Managing Director, Equity Trading, at Maxim Group said: "We needed a solution that was far more sophisticated than our previous choice. Fidessa gives us basket trading functionality robust enough to avoid using third party brokers, plus a compliance module that allows intraday fixes to problems as they are flagged. A technology partner like Fidessa, with the know-how and infrastructure to support us now and in the future, is the key to weathering the constant change and capricious shifts that have become the marketplace norm."

Maxim will be using Fidessa's US trading platform to support varied and complex multi-asset workflows. Fidessa's sophisticated order management system (OMS) delivers seamless straight-through processing of orders from creation and routing to executions and confirmations. All of the features required for international trading, such as global parity, are fully integrated into the OMS, as are advanced trading tools for algorithmic and basket trading. Maxim also benefits from membership of Fidessa's connectivity network, which provides access to 3,600 buy-sides and 200 venues globally.

David Polen, Managing Director at Fidessa, added: "This move reinforces our position as a global vendor with the depth to support aggressive growth and the multi-asset capabilities to trade a wide range of geographically dispersed instruments. Intelligent multi-asset workflow – a fundamental requirement for many – is hardwired into our solution, and developing and supporting these systems has been our focus for three decades. This is why the global financial community trusts us to deliver their business-critical trading systems."

Fidessa's award-winning multi-asset trading solutions serve more than 25,000 users at 1,000 clients around the world across the buy- and sell-side.

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About Maxim Group

Maxim Group LLC is a full-service investment banking firm headquartered in New York. Maxim Group provides a full array of financial services including investment banking; private wealth management; and global institutional equity, fixed-income and derivatives sales and trading, equity research and prime brokerage services. The investment banking group focuses on middle market and emerging growth companies within the shipping, energy, health care, technology, retail, and business and financial services sectors. The institutional coverage of Maxim Group spans North and South America, Europe and Asia. Maxim Group LLC is a registered broker-dealer with the U.S. Securities and Exchange Commission and is a member of the following: Financial Industry Regulatory Authority (FINRA); Municipal Securities Rulemaking Board (MSRB); Securities Investor Protection Corporation (SIPC); NASDAQ Stock Market and the NYSE Arca, Inc. To learn more about Maxim Group, visit www.maximgrp.com.

About Fidessa group

Exceptional trading, investment and information solutions for the world's financial community.

New technology, new regulation, new challenges: making money in today's financial markets is all about staying ahead of the curve. Having the capability to spot new trends and act fast turns change into opportunity. That's why 85% of the world's premier financial institutions trust Fidessa to provide them with their multi-asset trading and investment infrastructure, their market data and analysis, and their decision making and workflow technology. It's also why \$12 trillion worth of transactions flow across our global network each year. Because we're the market leader, we can also offer unique access to the world's largest and most valuable trading community of buy-side and sell-side professionals, from global institutions and investment banks to boutique brokers and niche hedge funds.

Fidessa is a global business with scale, resilience, ambition and expertise. We've delivered around 25% compound growth since our stock market listing in 1997 and we're recognized as the thought leader in our space. We set the benchmark with our unrivalled set of mission-critical products and services and, uniquely, serve both the buy-side and sell-side communities. Ongoing investment in our leading-edge, integrated solutions ensures Fidessa remains the industry's number one choice.

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